

**APPENDIX V**  
**APPENDIX V**

**REPORT ON CORPORATE GOVERNANCE**  
**(Issued in conjunction with Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Minister of Finance)**

**HO CHI MINH CITY POWER TRADING INVESTMENT CORPORATION**      **SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**

No.: 004/BC-TRADIN-HĐQT.IV

*Ho Chi Minh City, January 15, 2025*

**REPORT ON CORPORATE GOVERNANCE**  
**(Year 2024)**

To: - State Securities Commission  
- Stock Exchange

- Company Name: Ho Chi Minh City Power Trading Investment Corporation
- Head Office Address: 14A Street No. 85, Quarter 1, Tan Quy Ward, District 7, Ho Chi Minh City
- Telephone: (028) 2211 7898 Fax: (028) 2200 4079
- Email: info@hcmpc-tradincorp.com
- Charter Capital: 236,081,650,000 VND
- Stock Code: HTE
- Corporate Governance Model: General Meeting of Shareholders, Board of Directors, Supervisory Board, and General Director.
- Regarding the implementation of the internal audit function: The Company is not subject to internal audit requirements under Decree No. 05/2019/ND-CP.

**I. Activities of the General Meeting of Shareholders:**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including collect shareholders' written opinions):



| No. | Resolution/Decision No.  | Date      | Content   |
|-----|--------------------------|-----------|---|
| 1   | 014/2024/NQ-TRADIN-DHDCĐ | 23/4/2024 | <p>Resolution of the 2024 Annual General Meeting of Shareholders. Approved contents:</p> <ul style="list-style-type: none"> <li>- Approval of the Board of Directors' Activity Report for 2023 and the Action Plan for 2024.;</li> <li>- Approval of the Supervisory Board's Activity Report on the results of financial supervision and inspection in 2023;</li> <li>- Approval of the General Director's Report on the company's production and business activities in 2023 and the Action Plan for 2024;</li> <li>- Approval of the submitted Consolidated and Separate Financial Statements for 2023, which have been audited;</li> <li>- Approval of the submitted results of production and business activities in 2023; plan for fund allocation and dividend distribution in 2023;</li> <li>- Approval of the submitted Production and Business Plan for 2024;</li> <li>- Approval of the submitted selection of an audit firm for the Consolidated and Separate Financial Statements for 2024;</li> <li>- Approval of the submitted report on the progress of capital utilization for the treasury share sale by private placement in 2023;</li> <li>- Approval the Proposal on the Plan for selling the remaining 970,000 treasury shares through a private placement.</li> </ul> |

## II. Board of Directors (2024 Report):

### 1. Information on members of the Board of Directors (BOD):

In 2024, there were no changes in the members of the BOD for the 2022-2027 term:

| No. | Board Member            | Position  | Start/End Date as a BOD/Independent BOD Member |                |
|-----|-------------------------|---|--|----------------|
|     |                         |   | Appointment Date                               | Dismissal Date |
| 1   | Mr. Nguyen Thanh Nha    | Chairman of the Board of Directors                    | 27/04/2023                                     |                |
| 2   | Mr. Nguyen Anh Vu       | Member of the Board of Directors cum General Director | 27/4/2023                                      |                |
| 3   | Ms. Truong Ngo Sen      | Non-executive Member of the Board of Directors        | 19/4/2022                                      |                |
| 4   | Ms. Nguyen Thi Kim Loan | Non-executive Member of the Board of Directors        | 19/4/2022                                      |                |
| 5   | Mr. Chau Thanh Phong    | Non-executive Member of the Board of Directors        | 19/4/2022                                      |                |

## 2. BOD Meetings:

| No. | Board Member            | Number of Board Meetings Attended | Attendance Rate | Reason for Absence |
|-----|-------------------------|-----------------------------------|-----------------|--------------------|
| 1   | Mr. Nguyen Thanh Nha    | 6/6                               | 100%            |                    |
| 2   | Mr. Nguyen Anh Vu       | 6/6                               | 100%            |                    |
| 3   | Ms. Truong Ngo Sen      | 6/6                               | 100%            |                    |
| 4   | Ms. Nguyen Thi Kim Loan | 6/6                               | 100%            |                    |
| 5   | Mr. Chau Thanh Phong    | 6/6                               | 100%            |                    |

Between Board of Directors meetings, to promptly address matters requiring the Board's opinion related to production, business, and management activities, the Company conducted written ballots of the Board of Directors. These written ballot procedures were carried out in accordance with the Law on Enterprises and relevant guiding documents, the Board of Directors' operating regulations, and under the supervision of the Company's Supervisory Board (all documents submitted for the Board of Directors' opinion were sent to the Supervisory Board in the correct form, content, and timeframe as for the members of the Board of Directors). The total number of written ballots in 2024 was 04.

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3. Supervisory activities of the Board of Directors over the Board of General Directors:

- The Board of Directors exercises its supervisory authority over the General Director and management personnel through regular reporting and the results of implementing assigned tasks according to the Resolutions and Decisions of the Board of Directors.
- In 2024, the Board of Directors directed the Company's Executive Board to implement the Resolutions of the 2024 Annual General Meeting of Shareholders approved at the General Meeting of Shareholders and the Resolutions of the Board of Directors in regular meetings as well as through written ballots.
- The Board of Directors' focus was on directing and supporting the Company's Executive Board in reorienting business lines, restructuring the organizational structure, and developing and issuing internal management regulations.
- Through the written ballots of the Board of Directors, the Board also demonstrated its responsibility in participating in supervising and resolving matters within its authority.
- Supervise the divestment of non-core projects in accordance with the Company's Charter and legal regulations.
- Alongside completed tasks, the divestment of the Wind Power project remains unfinished and will continue into 2025.

4. Activities of sub-committees under the Board of Directors: None.

The Company's Board of Directors does not establish any subordinate committees. Board members perform their duties as assigned by the Board.

5. Resolutions/Decisions of the Board of Directors (2024 Report):

✚ Board of Directors' Resolutions:

| No . | Resolution/Decision Number | Date      | Content   | Approval Rate |
|------|----------------------------|-----------|---|---------------|
| 1.   | 004/NQ-TRADIN-HĐQT. IV     | 5/2/2024  | Board Resolution on the Approval of the Plan for the 2024 Annual General Meeting of Shareholders            | 100%          |
| 2.   | 007/NQ-TRADIN-HĐQT. IV     | 29/3/2024 | Board Resolution on the Audited 2023 Financial Statements   | 100%          |
| 3.   | 010/NQ-TRADIN-HĐQT. IV     | 1/4/2024  | Board Resolution on the Approval of the Draft Documents for the 2024 Annual General Meeting of Shareholders | 100%          |

|    |                        |            |   |      |
|----|------------------------|------------|---|------|
| 4. | 011/NQ-TRADIN-HĐQT. IV | 1/4/2024   | Board Resolution of the April 2024 Meeting  | 100% |
| 5. | 012/NQ-TRADIN-HĐQT. IV | 19/4/2024  | Board Resolution on the Adjustment of the Draft Documents for the 2024 Annual General Meeting of Shareholders                           | 100% |
| 6. | 013/NQ-TRADIN-HĐQT. IV | 1/4/2024   | Board Resolution on the Adjustment of the General Director's Income   | 100% |
| 7. | 020/NQ-TRADIN-HĐQT. IV | 15/07/2024 | Resolution of the Board of Directors on selecting a debt settlement plan for IMG Phuoc Dong Joint Stock Company                         | 100% |
| 8. | 024/NQ-TRADIN-HĐQT. IV | 17/09/2024 | Resolution of the Board of Directors on the change of legal representative and structure of the subsidiary – Tradin One Company Limited | 100% |
| 9. | 027/NQ-TRADIN-HĐQT. IV | 23/10/2024 | Resolution of the Board of Directors for the 4th Quarter Meeting of 2024  | 100% |

✚ Board of Directors' Decisions: None

### III. Supervisory Board (2024 Report):

#### 1. Information on Supervisory Board (SB) members:

| No. | Supervisory Board/Audit Committee Member | Position                    | Start/End Date as a Supervisory Board | Professional Qualification          |
|-----|--|-----------------------------|---------------------------------------|-------------------------------------|
| 1   | Mr. Nguyen Viet Hung                     | Head of Supervisory Board   | 19/4/2022                             | Master of Finance                   |
| 2   | Mr. Tran Minh Duc                        | Member of Supervisory Board | 27/4/2023                             | Doctor of Business Administration   |
| 3   | Ms. Trinh Thi Thanh Thao                 | Member of Supervisory Board | 19/4/2022                             | Bachelor of Business Administration |

#### 2. Meetings of the SB:

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| No. | BOD Member               | Meetings Attended | Meeting Attendance Rate | Voting Rate | Reason for Absence |
|-----|--------------------------|-------------------|-------------------------|-------------|--------------------|
| 1   | Mr. Nguyen Viet Hung     | 4/4               | 100%                    | 100%        |                    |
| 2   | Ms. Trinh Thi Thanh Thao | 4/4               | 100%                    | 100%        |                    |
| 3   | Mr. Tran Minh Duc        | 4/4               | 100%                    | 100%        |                    |

3. Supervisory activities of the SB towards the Board of Directors, the Board of Management, and shareholders:

The Supervisory Board performs its supervisory function over the management and administration of the Board of Directors, the Board of Management, and the Company's production and business activities by attending meetings, contributing opinions at Board of Directors meetings, and supervising the implementation of written resolutions of Board members.

- Supervising the payment of remaining dividends from previous years;
- Approving the audited 2023 separate and consolidated financial statements;
- Approving the quarterly financial statements for Q1, Q2, Q3, and Q4 of 2024;
- Supervising the registration and depository of shares of Ho Chi Minh City Power Trading Investment Corporation at the Viet Nam Securities Depository and Clearing Corporation (VSDC) and the registration for trading of shares on the public company securities trading system at the Hanoi Stock Exchange (Upcom).

4. Coordination of activities between the SB and the Board of Directors, the Board of Management, and other management personnel:

- The Supervisory Board proactively discussed and agreed with the Board of Directors on the contents of inspection and supervision, and coordinated with the Board of Management in the implementation of inspection and supervision.
- The Board of Directors has provided the Supervisory Board with all Resolutions and Decisions of the Board of Directors.
- The Board of Management has facilitated the Supervisory Board in collecting information and documents related to production and business activities.
- The Board of Directors, the Supervisory Board, the Board of Management, and other management personnel of the Company have maintained a close cooperative relationship in the management and administration of production and business activities.

5. Other activities of the SB (if any): None.

#### IV. Board of Management:

| No. | Member of the Executive Board | Date of Birth | Professional Qualification          | Appointment/Dismissal Date of Executive Board Member |
|-----|-------------------------------|---------------|-------------------------------------|--|
| 1   | Mr. Nguyen Anh Vu             | 26/02/1969    | Master of Business Administration   | 15/3/2022  |
| 2   | Mr. Dang Nguyen Ngoc Nam      | 23/08/1970    | Bachelor of Business Administration | 01/05/2017   |

#### V. Chief Accountant

| No. | Full Name             | Date of Birth | Professional Qualification | Appointment/Dismissal Date of Executive Board Member |
|-----|-----------------------|---------------|----------------------------|--|
| 1   | Mr. Nguyen Chanh Truc | 03/05/1982    | Bachelor of Economics      | 15/4/2023  |

#### VI. Corporate Governance Training:

During the year, Board of Management, the Corporate Secretary, and the Person in charge of Corporate Governance participated in online training courses organized by the Stock Exchange.

#### VII. List of related parties of the public company in 2024 and transactions between the related parties and the Company itself.

1. List of related parties of the company (as attached).
2. Transactions between the company and its related parties; or between the company and major shareholders, insiders, or related parties of insiders: None.
3. Transactions between company insiders, related persons of insiders with subsidiaries, and companies controlled by the company: None.
4. Transactions between the company and other entities:
  - 4.1 Transactions between the company and companies where members of the Board of Directors, Supervisory Board, General Director, and other managers were and are founding members or members of the Board of Directors, General Director operating within the last three (03) years (calculated at the time of reporting): None.
  - 4.2 Transactions between the company and companies where related persons of members of the Board of Directors, Supervisory Board, General Director, and other managers are members of the Board of Directors, General Director operating: None.





4.3 Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, Supervisory Board, General Director, and other managers: None.

**VIII. Share transactions of insiders and related persons of insiders in 2024**

1. List of insiders and related persons of insiders (as attached).
2. Transactions of insiders and related persons with the company's shares: None.

**IX. Other noteworthy issues: None.**

The contents of this report are disclosed and reported by Ho Chi Minh City Power Trading Investment Corporation to the State Securities Commission, Stock Exchange, and are also posted on the company's website as prescribed in Circular 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance guiding information disclosure in the securities market.

Sincerely./.

**Recipient:**

- As above;
- Archives: office.

**CHAIRMAN OF  
THE BOARD OF DIRECTORS**



The stamp is red and circular, containing the text: "M.S.D.N: 0303173790-C.T.C.P.", "CÔNG TY CỔ PHẦN ĐẦU TƯ KINH DOANH ĐIỆN LỰC THÀNH PHỐ HỒ CHÍ MINH", and "QUẬN 7 - T.P. HỒ CHÍ MINH". A blue ink signature is written over the stamp.

**Nguyen Thanh Nha**



**APPENDIX 01: LIST OF INSIDERS AND RELATED PARTIES (INDIVIDUALS, ORGANIZATIONS) OF INSIDERS**

Stock code: **HTE**

Company Name: **HO CHI MINH CITY POWER TRADING INVESTMENT CORPORATION**

Record Date: **31/12/2024**

| No.  | Stock code | Full Name                                  | Position in the Company                           | Relationship with Insider                              | Identification Certificate (ID card/Passport/Business registration certificate) | Identification Document Number | Date of Issue | Time of Appointment as Insider/Becoming Related Party | Notes |
|------|------------|--|---|--|---|--------------------------------|---------------|---|-------|
| 1    | 2          | 3  | 4   | 5  | 6   | 7                              | 8             | 9   | 10    |
| 1    | HTE        | Nguyen Thanh Nha                           | Chairman of the Board of Directors                |  |   |                                |               | 27/04/2023  |       |
| 1.01 | HTE        | Nguyen Giang Ha Minh                       |   | Wife   |   |                                |               | 27/04/2023  |       |
| 1.02 | HTE        | Nguyen Van Tai                             |   | Biological Father                                      |   |                                |               | 27/04/2023  |       |
| 1.03 | HTE        | Nguyen Thi Than                            |   | Biological Mother                                      |   |                                |               | 27/04/2023  |       |
| 1.04 | HTE        | Nguyen Chinh Quyen                         |   | Father-in-law  |   |                                |               | 27/04/2023  |       |
| 1.05 | HTE        | Giang Thi My Yen                           |   | Mother-in-law  |   |                                |               | 27/04/2023  |       |
| 1.06 | HTE        | Nguyen Giang Yen Xuan                      |   | Sister-in-law  |   |                                |               | 27/04/2023  |       |
| 1.07 | HTE        | Nguyen Minh Khanh Ngoc                     |   | Biological Child                                       |   |                                |               | 27/04/2023  |       |
| 1.08 | HTE        | Nguyen Minh Phuong                         |   | Biological Child                                       |   |                                |               | 27/04/2023  |       |
| 1.09 | HTE        | Nguyen Thi Phuong Dung                     |   | Older Sister   |   |                                |               | 27/04/2023  |       |
| 1.10 | HTE        | Nguyen Thi Tuyet Mai                       |   | Older Sister   |   |                                |               | 27/04/2023  |       |
| 1.11 | HTE        | HoChiMinh City Power Corporation (EVNHCMC) |   | Mr. Nguyen Thanh Nha serves as Deputy General Director |   |                                |               | 27/04/2023  |       |
| 2    | HTE        | Nguyen Anh Vu                              | Member of the Board of Directors/General Director |  |   |                                |               | 15/03/2022  |       |
| 2.01 | HTE        | Tran Thi Thu Thao                          |   | Wife   |   |                                |               | 15/03/2022  |       |
| 2.04 | HTE        | Nguyen Thi Chi Lan                         |   | Older Sister   |   |                                |               | 15/03/2022  |       |
| 2.06 | HTE        | Nguyen Thi My Dung                         |   | Older Sister   |   |                                |               | 15/03/2022  |       |
| 2.07 | HTE        | Nguyen Anh Tuan                            |   | Older Brother  |   |                                |               | 15/03/2022  |       |
| 2.12 | HTE        | Tran Thi Ren                               |   | Mother-in-law  |   |                                |               | 15/03/2022  |       |
| 2.13 | HTE        | Nguyen Van Tro                             |   | Biological Father                                      |   |                                |               | 15/03/2022  |       |
| 2.14 | HTE        | Nguyen Ngoc Nga                            |   | Biological Mother                                      |   |                                |               | 15/03/2022  |       |
| 2.15 | HTE        | HoChiMinh City Power Corporation (EVNHCMC) |   |  |   |                                |               | 15/03/2022  |       |
| 3    | HTE        | Chau Thanh Phong                           | Member of the Board of Directors                  |  |   |                                |               | 19/04/2022  |       |
| 3.01 | HTE        | Huynh Doan Thuy Tien                       |   | Wife   |   |                                |               | 19/04/2022  |       |
| 3.02 | HTE        | Chau Kim Danh                              |   | Biological Father                                      |   |                                |               | 19/04/2022  |       |
| 3.03 | HTE        | Quach Kim Phuong                           |   | Biological Mother                                      |   |                                |               | 19/04/2022  |       |



| No.  | Stock code | Full Name                               | Position in the Company                 | Relationship with Insider  | Identification Certificate (ID card/Passport/Business registration certificate) | Identification Document Number | Date of Issue | Time of Appointment as Insider/Becoming Related Party | Notes |
|------|------------|---|---|--|---|--------------------------------|---------------|---|-------|
| 1    | 2          | 3                                       | 4                                       | 5  | 6   | 7                              | 8             | 9   | 10    |
| 3.04 | HTE        | Huynh Van Tri                           |   | Father-in-law  |   |                                |               | 19/04/2022  |       |
| 3.05 | HTE        | Doan Thi Quang Ngoc                     |   | Mother-in-law  |   |                                |               | 19/04/2022  |       |
| 3.06 | HTE        | Chau Huynh Ngoc Thao                    |   | Biological Child   |   |                                |               | 19/04/2022  |       |
| 3.07 | HTE        | Chau Phuc Nguyen                        |   | Biological Child   |   |                                |               | 19/04/2022  |       |
| 3.08 | HTE        | Chau Quach Duy Minh                     |   | Older Brother  |   |                                |               | 19/04/2022  |       |
| 3.09 | HTE        | Nguyen Thi Kim Thanh                    |   | Sister-in-law  |   |                                |               | 19/04/2022  |       |
| 3.10 | HTE        | Chau Khanh Toan                         |   | Older Brother  |   |                                |               | 19/04/2022  |       |
| 3.11 | HTE        | Phan Thi Bich Phung                     |   | Sister-in-law  |   |                                |               | 19/04/2022  |       |
| 3.12 | HTE        | Chau Thi Bach Ngoc                      |   | Older Brother  |   |                                |               | 19/04/2022  |       |
| 3.13 | HTE        | Southern Power Corporation (SPC)        |   | Mr. Chau Thanh Phong serves as a Specialist in the General Department.                       |   |                                |               | 19/04/2022  |       |
| 4    | HTE        | <b>Truong Ngo Sen</b>                   | <b>Member of the Board of Directors</b> |  |   |                                |               | 19/04/2022  |       |
| 4.01 | HTE        | Truong Thi Kim Thoa                     |   | Younger Sibling  |   |                                |               | 19/04/2022  |       |
| 4.02 | HTE        | Ngo Kim Cham                            |   | Younger Sibling  |   |                                |               | 19/04/2022  |       |
| 4.03 | HTE        | Nguyen Dinh Thanh                       |   | Biological Child   |   |                                |               | 19/04/2022  |       |
| 4.04 | HTE        | Vass Assurance Corporation              |   | Ms. Truong Ngo Sen serves as a Member of the Board of Directors and Deputy General Director. |   |                                |               | 19/04/2022  |       |
| 5    | HTE        | <b>Nguyen Thi Kim Loan</b>              | <b>Member of the Board of Directors</b> |  |   |                                |               | 19/04/2022  |       |
| 5.01 | HTE        | Le Thi Nghien                           |   | Biological Mother  |   |                                |               | 19/04/2022  |       |
| 5.02 | HTE        | Le Ngoc Hai                             |   | Husband  |   |                                |               | 19/04/2022  |       |
| 5.03 | HTE        | Le Duc Khanh                            |   | Child  |   |                                |               | 19/04/2022  |       |
| 5.04 | HTE        | Le Nguyen Khang                         |   | Child  |   |                                |               | 19/04/2022  |       |
| 5.05 | HTE        | Sai Gon Real Estate Corporation (RESCO) |   | Ms. Nguyen Thi Kim Loan serves as Deputy Chief of Office.                                    |   |                                |               | 19/04/2022  |       |
| 6    | HTE        | <b>Nguyen Viet Hung</b>                 | <b>Head of the Supervisory Board</b>    |  |   |                                |               | 19/04/2022  |       |
| 6.01 | HTE        | Truong Thi Ngan                         |   | Wife   |   |                                |               | 19/04/2022  |       |
| 6.02 | HTE        | Nguyen Cu                               |   | Biological Father  |   |                                |               | 19/04/2022  |       |
| 6.03 | HTE        | Tran Thi Hue                            |   | Biological Mother  |   |                                |               | 19/04/2022  |       |
| 6.04 | HTE        | Truong San                              |   | Father-in-law  |   |                                |               | 19/04/2022  |       |

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| No.  | Stock code | Full Name                    | Position in the Company                | Relationship with Insider | Identification Certificate (ID card/Passport/Business registration certificate) | Identification Document Number | Date of Issue | Time of Appointment as Insider/Becoming Related Party | Notes |
|------|------------|------------------------------|--|---------------------------|---|--------------------------------|---------------|---|-------|
| 1    | 2          | 3                            | 4                                      | 5                         | 6   | 7                              | 8             | 9   | 10    |
| 6.05 | HTE        | Phan Thi Thu Thuy            |  | Mother-in-law             |   |                                |               | 19/04/2022  |       |
| 6.06 | HTE        | Nguyen Thi Kieu Oanh         |  | Younger Sibling           |   |                                |               | 19/04/2022  |       |
| 6.07 | HTE        | Truong Thi Phuong Nguyen     |  | Younger Sibling-in-law    |   |                                |               | 19/04/2022  |       |
| 6.08 | HTE        | Nguyen Kieu Quynh Han        |  | Biological Child          |   |                                |               | 19/04/2022  |       |
| 7    | HTE        | <b>Tran Minh Duc</b>         | <b>Member of the Supervisory Board</b> |                           |   |                                |               | <b>27/04/2023</b>                                     |       |
| 7.01 | HTE        | Nguyen Thi Thuy              |  | Wife                      |   |                                |               | 27/04/2023  |       |
| 7.02 | HTE        | Tran Nhat                    |  | Biological Father         |   |                                |               | 27/04/2023  |       |
| 7.03 | HTE        | Ha Thi Thanh                 |  | Biological Mother         |   |                                |               | 27/04/2023  |       |
| 7.04 | HTE        | Nguyen Trong Dang            |  | Father-in-law             |   |                                |               | 27/04/2023  |       |
| 7.05 | HTE        | Pham Thi Hong                |  | Mother-in-law             |   |                                |               | 27/04/2023  |       |
| 7.06 | HTE        | Tran Thi Minh Le             |  | Older Sister              |   |                                |               | 27/04/2023  |       |
| 7.07 | HTE        | Nguyen Trong Me              |  | Brother-in-law            |   |                                |               | 27/04/2023  |       |
| 7.08 | HTE        | Tran Hoang Nhan              |  | Biological Child          |   |                                |               | 27/04/2023  |       |
| 7.09 | HTE        | Tran Thi Ngan Ha             |  | Biological Child          |   |                                |               | 27/04/2023  |       |
| 7.10 | HTE        | V-Galaxy MTV Company Limited |  |                           |   |                                |               | 27/04/2023  |       |
| 8    | HTE        | <b>Trinh Thi Thanh Thao</b>  | <b>Member of the Supervisory Board</b> |                           |   |                                |               | <b>19/04/2022</b>                                     |       |
| 8.01 | HTE        | Van Hoang Nhan               |  | Husband                   |   |                                |               | 19/04/2022  |       |
| 8.02 | HTE        | Trinh La                     |  | Biological Father         |   |                                |               | 19/04/2022  |       |
| 8.03 | HTE        | Nguyen Thi Mot               |  | Biological Mother         |   |                                |               | 19/04/2022  |       |
| 8.04 | HTE        | Van Phu Nam                  |  | Father-in-law             |   |                                |               | 19/04/2022  |       |
| 8.05 | HTE        | Nguyen Thi Muoi              |  | Mother-in-law             |   |                                |               | 19/04/2022  |       |
| 8.06 | HTE        | Trinh Thi Xuan               |  | Older Sister              |   |                                |               | 19/04/2022  |       |
| 8.07 | HTE        | Trinh Thi Yen                |  | Older Sister              |   |                                |               | 19/04/2022  |       |
| 8.08 | HTE        | Trinh Thi Nga                |  | Older Sister              |   |                                |               | 19/04/2022  |       |
| 8.09 | HTE        | Trinh Dung                   |  | Older Brother             |   |                                |               | 19/04/2022  |       |
| 8.10 | HTE        | Trinh Hung                   |  | Older Brother             |   |                                |               | 19/04/2022  |       |
| 8.11 | HTE        | Trinh Thi Ha Thu             |  | Older Sister              |   |                                |               | 19/04/2022  |       |
| 8.12 | HTE        | Van Hoang Ngoc Han           |  | Biological Child          |   |                                |               | 19/04/2022  |       |
| 8.13 | HTE        | Van Hoang Khang              |  | Biological Child          |   |                                |               | 19/04/2022  |       |
| 9    | HTE        | <b>Dang Nguyen Ngoc Nam</b>  | <b>Deputy General Director</b>         |                           |   |                                |               | <b>26/04/2017</b>                                     |       |
| 9.01 | HTE        | Phan Ngoc Thuy               |  | Wife                      |   |                                |               | 26/04/2017  |       |
| 9.02 | HTE        | Dang Ngoc Sinh               |  | Biological Father         |   |                                |               | 26/04/2017  |       |
| 9.03 | HTE        | Nguyen Thi Tram              |  | Biological Mother         |   |                                |               | 26/04/2017  |       |
| 9.04 | HTE        | Phan Van Thieu               |  | Father-in-law             |   |                                |               | 26/04/2017  |       |
| 9.05 | HTE        | Tran Thi Chuong              |  | Mother-in-law             |   |                                |               | 26/04/2017  |       |

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| No.   | Stock code | Full Name                | Position in the Company                          | Relationship with Insider | Identification Certificate (ID card/Passport/Business registration certificate) | Identification Document Number | Date of Issue | Time of Appointment as Insider/Becoming Related Party | Notes |
|-------|------------|--------------------------|--|---------------------------|---|--------------------------------|---------------|---|-------|
| 1     | 2          | 3                        | 4  | 5                         | 6   | 7                              | 8             | 9   | 10    |
| 9.06  | HTE        | Dang Ngoc Luong Vy       |  | Younger Sibling           |   |                                |               | 26/04/2017  |       |
| 9.07  | HTE        | Le Nguyen Tien Nhan      |  | Younger Brother-in-law    |   |                                |               | 26/04/2017  |       |
| 9.08  | HTE        | Dang Ngoc Thanh Van      |  | Younger Sibling           |   |                                |               | 26/04/2017  |       |
| 9.09  | HTE        | Vo Tuan Dai              |  | Younger Brother-in-law    |   |                                |               | 26/04/2017  |       |
| 9.10  | HTE        | Phan Ngoc Diem           |  | Sister-in-law             |   |                                |               | 26/04/2017  |       |
| 9.11  | HTE        | Phan Tan Loi             |  | Brother-in-law            |   |                                |               | 26/04/2017  |       |
| 9.12  | HTE        | Dang Pham My Hien        |  | Biological Child          |   |                                |               | 26/04/2017  |       |
| 9.13  | HTE        | Dang Pham Khanh Mai      |  | Biological Child          |   |                                |               | 26/04/2017  |       |
| 10    | HTE        | <b>Nguyen Chanh Truc</b> | <b>Chief Accountant</b>                          |                           |   |                                |               | <b>15/04/2023</b>                                     |       |
| 10.01 | HTE        | Tran Thi Hong Loan       |  | Wife                      |   |                                |               | 15/04/2023  |       |
| 10.02 | HTE        | Nguyen Phuc Khang        |  | Child                     |   |                                |               | 15/04/2023  |       |
| 10.03 | HTE        | Nguyen Phuc Tien         |  | Child                     |   |                                |               | 15/04/2023  |       |
| 10.04 | HTE        | Nguyen Huu Tri           |  | Biological Father         |   |                                |               | 15/04/2023  |       |
| 10.05 | HTE        | Nguyen Thi Ngoc Tho      |  | Biological Mother         |   |                                |               | 15/04/2023  |       |
| 10.06 | HTE        | Nguyen Ngoc Bao Tran     |  | Younger Sibling           |   |                                |               | 15/04/2023  |       |
| 10.07 | HTE        | Tran Van Nhanh           |  | Father-in-law             |   |                                |               | 15/04/2023  |       |
| 10.08 | HTE        | Le Thi Anh Nguyet        |  | Mother-in-law             |   |                                |               | 15/04/2023  |       |
| 10.09 | HTE        | Tran Thi Anh Hong        |  | Sister-in-law             |   |                                |               | 15/04/2023  |       |
| 11    | HTE        | <b>Le Thi Thu Huong</b>  | <b>Authorized Information Disclosure Officer</b> |                           |   |                                |               | <b>10/08/2020</b>                                     |       |
| 11.01 | HTE        | Le Van Minh              |  | Older Brother             |   |                                |               | 10/08/2020  |       |
| 11.02 | HTE        | Le Thanh Hai             |  | Older Brother             |   |                                |               | 10/08/2020  |       |
| 11.03 | HTE        | Cu Van Quan              |  | Husband                   |   |                                |               | 10/08/2020  |       |
| 11.04 | HTE        | Le Van Viet              |  | Biological Father         |   |                                |               | 10/08/2020  |       |
| 11.05 | HTE        | Duong Thi Hang           |  | Biological Mother         |   |                                |               | 10/08/2020  |       |
| 11.06 | HTE        | Cu Van Minh              |  | Father-in-law             |   |                                |               | 10/08/2020  |       |
| 11.07 | HTE        | Duong Thi Nam            |  | Mother-in-law             |   |                                |               | 10/08/2020  |       |
| 11.08 | HTE        | Cu Minh Duc              |  | Biological Child          |   |                                |               | 10/08/2020  |       |
| 11.09 | HTE        | Cu Minh Phuc             |  | Biological Child          |   |                                |               | 10/08/2020  |       |

PREPARED BY



Le Thi Thu Huong

REPRESENTATIVE OF THE ORGANIZATION

(Sign, clearly state full name, and affix seal)



Nguyen Anh Vu

C.T.C.P.H.M.  
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MINH